UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/664,028	09/17/2003	Soo-hong Park	Q76745	2599
23373 SLIGHDLIE MI	IINER			
SUGHRUE MION, PLLC 2100 PENNSYLVANIA AVENUE, N.W. SUITE 800 WASHINGTON, DC 20037			KEEFER, MICHAEL E	
			ART UNIT	PAPER NUMBER
			2109	
SHORTENED STATUTOR	Y PERIOD OF RESPONSE	MAIL DATE	DELIVER	Y MODE
3 MO	NTHS	03/14/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

	•		ES)
,	Application No.	Applicant(s)	U
	10/664,028	PARK, SOO-HON	IG
Office Action Summary	Examiner	Art Unit	•
	Michael E. Keefer	2109	
The MAILING DATE of this communication appeared for Reply	pears on the cover sheet with the o	correspondence ad	Idress
A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING D  - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period  - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailin earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION  136(a). In no event, however, may a repty be tir  will apply and will expire SIX (6) MONTHS from  a, cause the application to become ABANDONE	N. nely filed the mailing date of this c D (35 U.S.C. § 133).	
Status			
1) Responsive to communication(s) filed on 17 S     2a) This action is FINAL. 2b) This     3) Since this application is in condition for alloware closed in accordance with the practice under I	s action is non-final. ince except for formal matters, pro		e merits is
Disposition of Claims			
<ul> <li>4)  Claim(s) 1-5 is/are pending in the application.</li> <li>4a) Of the above claim(s) is/are withdra</li> <li>5)  Claim(s) is/are allowed.</li> <li>6)  Claim(s) 1-5 is/are rejected.</li> <li>7)  Claim(s) is/are objected to.</li> <li>8)  Claim(s) are subject to restriction and/or</li> </ul>	·		
Application Papers			
9)☐ The specification is objected to by the Examine 10)☒ The drawing(s) filed on 17 September 2003 is/ Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11)☐ The oath or declaration is objected to by the Examine 11.	are: a) $\boxtimes$ accepted or b) $\square$ object drawing(s) be held in abeyance. Settion is required if the drawing(s) is ob-	e 37 CFR 1.85(a). ojected to. See 37 C	FR 1.121(d).
Priority under 35 U.S.C. § 119			
a) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:  1. Certified copies of the priority document 2. Certified copies of the priority document 3. Copies of the certified copies of the priority document application from the International Bureat * See the attached detailed Office action for a list	ts have been received. ts have been received in Applicat prity documents have been receiv nu (PCT Rule 17.2(a)).	ion No ed in this National	Stage
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date 5/13/2004.	4) Interview Summan Paper No(s)/Mail E 5) Notice of Informal 6) Other:	ate	

Art Unit: 2109

#### **DETAILED ACTION**

1. This Office Action is responsive to the Application filed 9/17/2003.

#### **Priority**

2. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

## Claim Objections

3. Claims 1 and 4-5 are objected to because of the following informalities:

Regarding **claim 1**, it is suggested that the word --the-- be inserted between the words "of" and "devices" in line 3 to improve the clarity of the claim.

Regarding **claim 4**, it is suggested that the word --the-- be inserted at the beginning of line 9 to improve the clarity of the claim.

Regarding **claim 5**, it is suggested that the word "the" between "in" and "upper" in line 2 be deleted and replaced with the word --an-- to improve the clarity of the claim.

Appropriate correction is required.

## **Double Patenting**

4. A rejection based on double patenting of the "same invention" type finds its support in the language of 35 U.S.C. 101 which states that "whoever invents or discovers any new and useful process ... may obtain a patent therefor ..." (Emphasis added). Thus, the term "same invention," in this context, means an invention drawn to identical subject matter. See *Miller v. Eagle Mfg. Co.*, 151 U.S. 186 (1894); *In re Ockert*, 245 F.2d 467, 114 USPQ 330 (CCPA 1957); and *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970).

A statutory type (35 U.S.C. 101) double patenting rejection can be overcome by canceling or amending the conflicting claims so they are no longer coextensive in scope. The filing of a terminal disclaimer <u>cannot</u> overcome a double patenting rejection based upon 35 U.S.C. 101.

Application/Control Number: 10/664,028 Page 3

Art Unit: 2109

5. Claims 1-2 are provisionally rejected under 35 U.S.C. 101 as claiming the same invention as that of claims 1-2 of copending Application No. 10/746234. This is a provisional double patenting rejection since the conflicting claims have not in fact been patented.

6. Claims 4-5 are provisionally rejected under 35 U.S.C. 101 as claiming the same invention as that of claims 6-7 of copending Application No. 10/746234. This is a provisional double patenting rejection since the conflicting claims have not in fact been patented.

### Claim Rejections - 35 USC § 101

7. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1-5 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

Regarding **claim 1**, which is directed to a method of identifying devices comprising the step of identifying. In order for the subject matter of a claim to be statutory it must have a useful, concrete and tangible result. In this case the result is useful and concrete but is not tangible. The mere act of identifying has no "real world" result that is either displayed to a user or stored in a memory for use.

Claims 2-3, which depend from claim 1, do not add a tangible result to the method and thus are rejected for the same.

Art Unit: 2109

Regarding claim 4, the "computer readable recording medium," in accordance with Applicant's specification, may be carrier waves (pg. 20, [74], line 7). This subject matter is not limited to that which falls within a statutory category of invention because it is not limited to a process, machine, manufacture, or a composition of matter. Instead, it includes a form of energy. Energy does not fall within a statutory category since it is clearly not a series of steps or acts to constitute a process, not a mechanical device or combination of mechanical devices to constitute a machine, not a tangible physical article or object which is some form of matter to be a product and constitute a manufacture, and not a composition of two or more substances to constitute a composition of matter.

In addition, claim 4 is directed solely to a data structure to hold information. This subject matter is not limited to that which falls within a statutory category of invention because it is not limited to a process, machine, manufacture, or a composition of matter. Instead, it includes functional descriptive material. Functional descriptive material does not fall within a statutory category since it is clearly not a series of steps or acts to constitute a process, not a mechanical device or combination of mechanical devices to constitute a machine, not a tangible physical article or object which is some form of matter to be a product and constitute a manufacture, and not a composition of two or more substances to constitute a composition of matter.

Furthermore, claim 4 fails to produce a tangible result. In order to be statutory a claim must be directed to subject matter that produces a useful, concrete and tangible

Art Unit: 2109

result. The data structure claimed does not produce any result, but merely holds information.

Claim 5, which is dependent from claim 4, fails to remedy any of the deficiencies of claim 4 and thus is rejected for the same.

# Claim Rejections - 35 USC § 103

- 8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 9. Claims 1-3 and 4-5 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hinden et al. (RFC 2373, "IP Version 6 Addressing Achritecture"), hereafter Hinden, and Lee et al. ("A New Control Protocol for Home Appliances LnCP"), hereafter Lee.

Regarding **claims 1-3**, Hinden discloses an interface ID having a company ID area and serial number area having an EUI-64 ID format according to an IPv6 address system and using the serial number to identify the device. See page 19, the first figure and page 21, first and second paragraphs which state that a serial number may be used as an extension identifier (i.e. a serial number area).

Hinden discloses all the limitations of claims 1-3 except for a device ID area recorded in the interface ID in an area excluding the serial number and company ID

Art Unit: 2109

area and that the device ID is between the company ID area and the serial number area.

The general concept of a device ID area being concatenated with a serial number area is well known in the art as taught by Lee. (Page 288, Col 2, section 5.1 designates a product code area to address types of devices. note Figure 5, which teaches putting the device ID before the address range used to uniquely identify types of devices (i.e. the serial number area.)) In addition, using the device identification scheme proposed by Figure 5 as the selected extension identifier the Product code will fall on the fourth upper byte of the interface ID.

It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the addressing format of Hinden with the general concept of a device ID area being concatenated with a serial number area as taught by Lee in order to be able to quickly route packets based off of the type of device.

Regarding claims 4-5, Hinden discloses:

a network ID area for identifying a network to which a device belongs and an interface ID area for identifying the address of the device in the identified network, (See Page 8, the first figure defines a network area and an interface ID area)

wherein the interface ID area comprises:

a company ID area for identifying the manufacturer of the device;

a serial number area for identifying a serial number of the device (see page 19, figure 1, the 'c' area is the company area, the 'm' area is the serial number area)

Hinden discloses all the limitations of claims 4-5 except for a device ID area placed between the company and serial number areas.

The general concept of a device ID area being concatenated with a serial number area is well known in the art as taught by Lee. (Page 288, Col 2, section 5.1 designates a product code area to address types of devices. note Figure 5, which teaches putting the device ID before the address range used to uniquely identify types of devices (i.e. the serial number area.)) In addition, using the device identification scheme proposed by Figure 5 as the selected extension identifier the Product code will fall on the fourth upper byte of the interface ID.

It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the addressing format of Hinden with the general concept of a device ID area being concatenated with a serial number area as taught by Lee in order to be able to quickly route packets based off of the type of device.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael E. Keefer whose telephone number is (571) 270-1591. The examiner can normally be reached on Monday-Thursday 8am-5pm, second Fridays 8am-4pm.

Application/Control Number: 10/664,028 Page 8

Art Unit: 2109

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Frantz Jules can be reached on (571) 270-1808. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

MEK 3/7/2007

FRANTZ JULES SUPERVISORY PATENT EXAMINER